

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004\_0441A

First Named Inventor : Xinming WANG et al.

Title: SUBSTRATE PROCESSING METHOD AND SUBSTRATE  
PROCESSING APPARATUS

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)2. ☐ Small Entity Status is hereby asserted.8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3. ☒ Specification [Total Pages: 28]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 9]5. ☒ Oath or Declaration [Total Pages: 3]a.1. ☐ Newly executed (original or copy)a.2. ☒ Unexecutedb. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.17. ☒ Other COVER LETTER ACCOMPANYING APPLICATION FILED  
WITHOUT EXECUTED DECLARATION UNDER 37 CFR 1.53(b) AND IN A  
LANGUAGE OTHER THAN ENGLISH UNDER 37 CFR 1.52(d)18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

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March 19, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Xinming WANG et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed March 19, 2004 : Attorney Docket No. 2004\_0441A

SUBSTRATE PROCESSING METHOD AND  
SUBSTRATE PROCESSING APPARATUS

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$770.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$770.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Xinming WANG et al.

By Michael S. Huppert  
Michael S. Huppert  
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March 19, 2004

[Check No. 60812]  
2004\_0441A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Xinming WANG et al. : **Attn: APPLICATION BRANCH**  
Serial No. NEW : Attorney Docket No. 2004\_0441A  
Filed March 19, 2004 :  
SUBSTRATE PROCESSING METHOD AND : THE COMMISSIONER IS AUTHORIZED  
SUBSTRATE PROCESSING APPARATUS : TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

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**COVER LETTER ACCOMPANYING APPLICATION FILED WITHOUT EXECUTED  
DECLARATION UNDER 37 CFR 1.53(b) AND IN A LANGUAGE  
OTHER THAN ENGLISH UNDER 37 CFR 1.52(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a new patent application entitled "SUBSTRATE PROCESSING METHOD AND SUBSTRATE PROCESSING APPARATUS". This application is submitted in the Japanese language under the provisions of 37 CFR 1.52(d).

Furthermore, the present application is submitted under the provisions of 37 CFR 1.53(b), and the application as filed does not include an executed declaration. However, accompanying the application is an unexecuted declaration listing the inventor information.

The application as filed further does not include an executed power of attorney, and accordingly, it is requested that communication initially be directed to the following firm, until an executed power of attorney and declaration are filed:

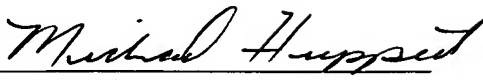
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The required filing fee of \$770.00 is enclosed.

Respectfully submitted,

Xinming WANG et al.

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